

Course material

Course:

Micro and Nanofabrication (MEMS)

Video:

3.9 PVD6, Sputtering

Concepts (extracted from automatically generated subtitles):

Crystalline silicon. Film growth. Crystalline silicon layer. Mass sensors. Fabrication of transparent conductive oxide films. Basic principles of sputtering. So-called tco. Photovoltaic solar cells. Couple of relevant examples. Final stoichiometry of the deposited film. Vacuum deposition chamber. Absorbing material. Oxide aluminum tco layer. Electrical signal. Micro-fabrication processes.



[to video sequence search](#)
(within Micro and Nanofabrication (MEMS).)



[to video](#)

Center for Digital Education. More educational support material here:

<https://www.epfl.ch/education/educational-initiatives/cede/educational-technologies-gallery/boocs-en/>
page 1/17

PVD 6: Sputtering

Examples

Micro and Nanofabrication (MEMS)

Prof. Jürgen Brugger & Prof. Martin A. M. Gijs

...

notes

summary

0m 0s





- Physical principle
 - Plasma, spatial zones, Paschen law
- Sputter variations
 - DC sputtering
 - RF sputtering
 - Magnetron sputtering
- Ions-target interactions
- Sputter examples
- Other PVD methods
- Film growth and control parameters

Micro and Nanofabrication (MINE)

After having seen the basic principles

notes

summary

0m 1s



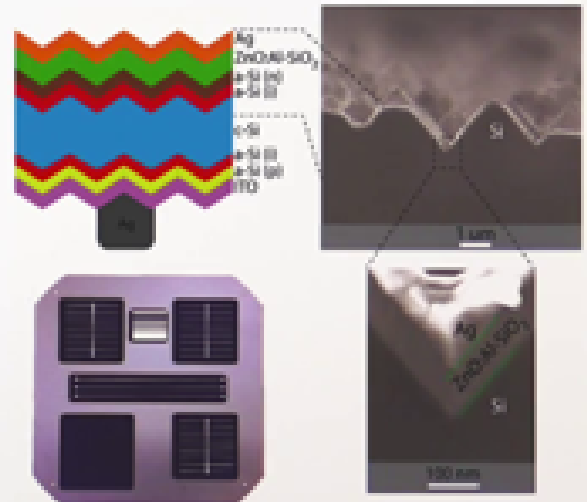
Transparent conductive oxide (TCO)

● Goal

- To decrease ohmic losses between absorbing medium (silicon) and metallic electrode (silver) in PV solar cells
- Antireflection coating at the front of the device
- IR light management at the rear of the device

● Requirements

- Low resistivity
- High transparency
- Low refractive index



Adapted and with permission from Debiton A. et al., "Tuning the Optoelectronic Properties of ZnO:Al by Addition of Silicon for Light Trapping in High-Efficiency Crystalline Si Solar Cells", Adv. Mater. Interfaces, 2016.

Micro and Nanofabrication (MEMS)

of sputtering and details on the film growth, let's now present a couple of relevant examples where sputtered films are used in MEMS. At the end of this lesson I will also show some details of the sputtering setup and take you into the clean room to see the real tools at work. At first, application where

notes

summary

0m 5s

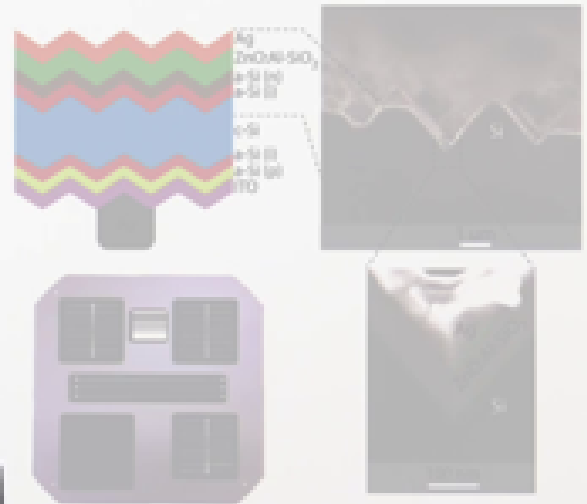
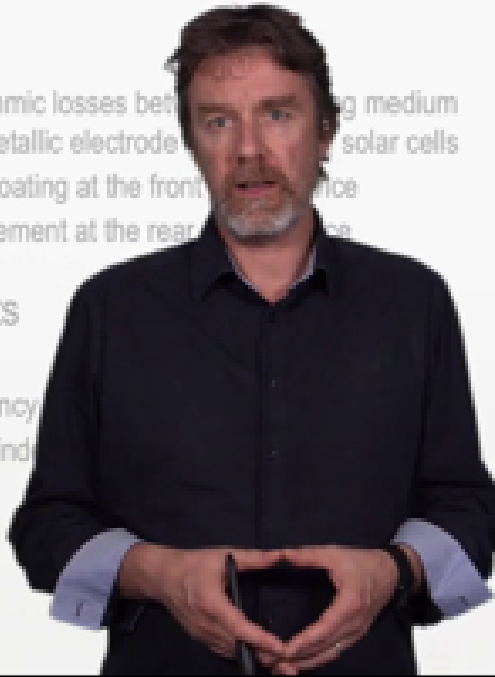


Goal

- To decrease ohmic losses between absorbing medium (silicon) and metallic electrodes in solar cells
- Antireflection coating at the front surface
- IR light management at the rear surface

Requirements

- Low resistivity
- High transparency
- Low refractive index



Adapted and with permission from Debilien A. et al., "Tuning the Optoelectronic Properties of ZnO:Al by Addition of Silicon for Light Trapping in High-Efficiency Crystalline Si Solar Cells", Adv. Mater. Interfaces, 2019.

More and Manufacturing (M&M)

sputtering is advantageous is the fabrication of transparent conductive oxide films for photovoltaic solar cells (PV). A photovoltaic solar cell is a device which transforms light into an electrical current. In most common solar cells, the absorbing material is made of crystalline silicon contacted with metallic electrodes. Incoming photons are absorbed in the crystalline silicon layer and generate electron-hole pairs. These electron-hole pairs are then extracted through the electrodes. Although a simple cell made of silicon directly contacted with metallic electrodes could work, its efficiency would be very low because of ohmic losses in the silicon metal junction and because of the surface recombination of electron-hole pairs. To improve the efficiency of crystalline silicon solar cells, amorphous silicon

notes

summary

0m 26s



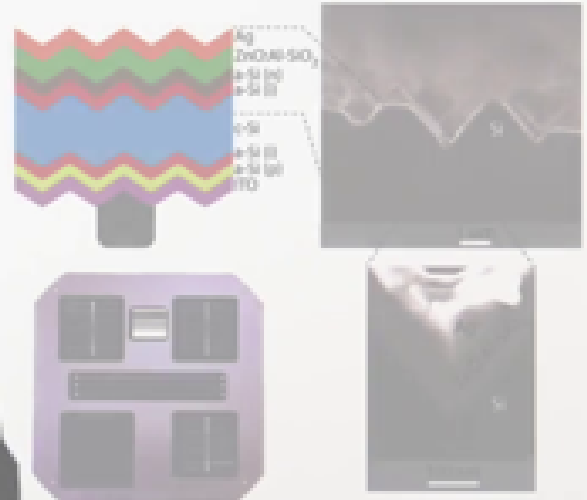
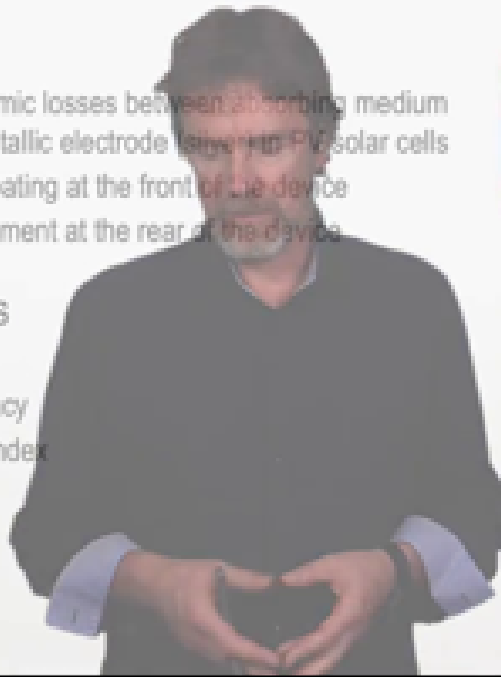
Transparent conductive oxide (TCO)

• Goal

- To decrease ohmic losses between absorbing medium (silicon) and metallic electrode (silver) in PV solar cells
- Antireflection coating at the front of the device
- IR light management at the rear of the device

• Requirements

- Low resistivity
- High transparency
- Low refractive index



Adapted and with permission from Delellis, A., et al., "Tuning the Optoelectronic Properties of ZnO:Al by Addition of Silicon for Light Trapping in High-Efficiency Crystalline Si Solar Cells", Adv. Mater. Interfaces, 2019.

Micro and Nanofabrication (M/NF)

or alpha silicon and transparent conductive oxide so-called TCO are added in between the active crystalline silicon area and the metallic electrodes, in silver. As shown here in the right side of the slide.

notes

summary

1m 25s

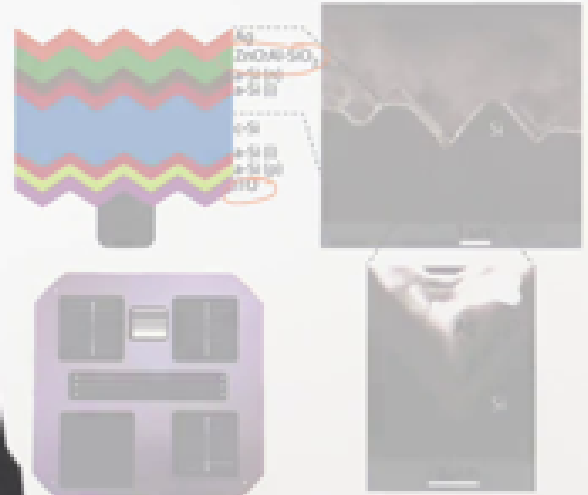
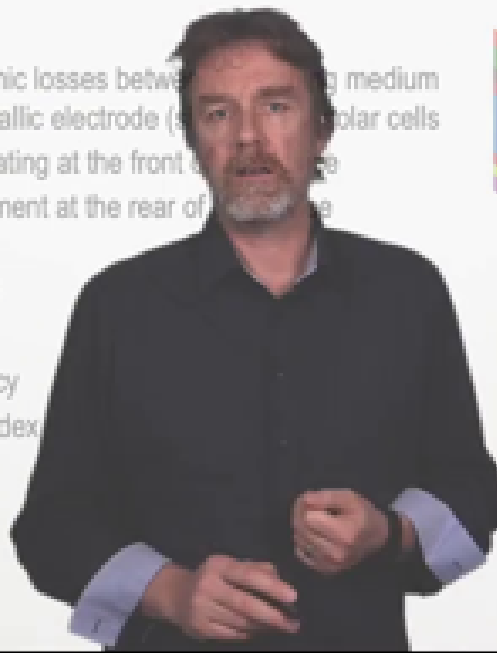


• Goal

- To decrease ohmic losses between absorbing medium (silicon) and metallic electrode (silver) in solar cells
- Antireflection coating at the front of the device
- IR light management at the rear of the device

• Requirements

- Low resistivity
- High transparency
- Low refractive index



Adapted and with permission from Debiton, A. et al., "Tuning the Optoelectronic Properties of ZnO:Al by Addition of Silicon for Light Trapping in High-Efficiency Crystalline Si Solar Cells", Adv. Mater. Interfaces, 2019.

Micro and Nanofabrication (MIRIS)

In this specific example, two different TCO are considered. First, the indium tin oxide or ITO is added at the front side of the device where the light comes in. And the second a zinc oxide aluminum silicon dioxide alloy, shown here, is added at the rear of the device.

notes

summary

1m 40s



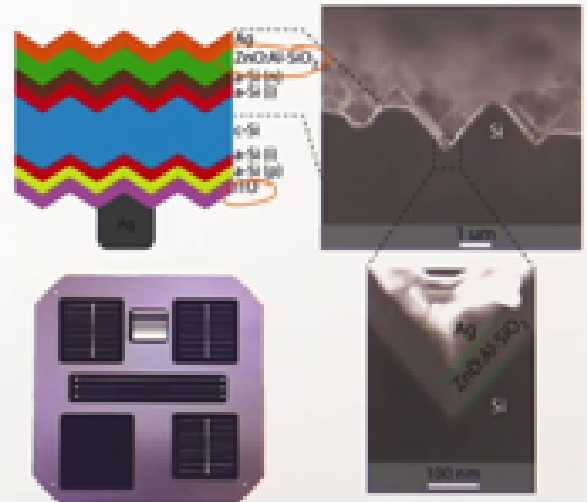
Transparent conductive oxide (TCO)

• Goal

- To decrease ohmic losses between absorbing medium (silicon) and metallic electrode (silver) in PV solar cells
- Antireflection coating at the front of the device
- IR light management at the rear of the device

• Requirements

- Low resistivity
- High transparency
- Low refractive index



Adapted and with permission from Debien, A., et al., "Tuning the Optoelectronic Properties of ZnO:Al by Addition of Silicon for Light Trapping in High-Efficiency Crystalline Si Solar Cells", Adv. Mater. Interfaces, 2019.

Micro and Nanofabrication (MINE)

The first call of the TCO in the photovoltaic solar cells is to decrease ohmic losses between the electrode and the absorbing medium. However, TCO also functions as anti-reflection coatings at the front of the solar cell, as well as for infrared light absorption management at the rear of the cells. In order to fabricate cells with the highest efficiency as possible, TCO layers must have low resistivity, high transparency, and a low refractive index. To satisfy all these three requirements at the same time it is not that straightforward

notes

summary

2m 7s



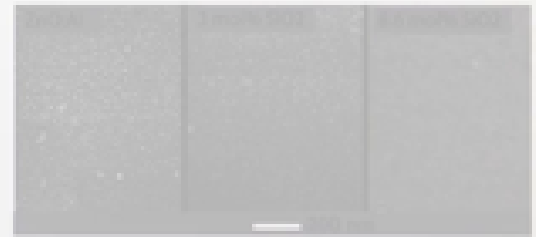
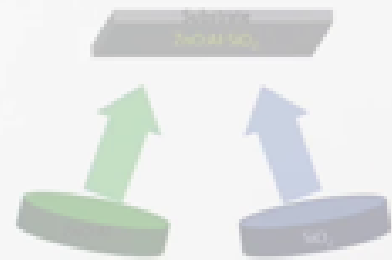
Transparent conductive oxide (TCO)

Fabrication

- Co-sputtering ZnO and SiO_2
- Controlled Zn:Al ratio
- Background pressure $\sim 7 \text{ mbar} = 1.5 \times 10^{-7} \text{ Torr}$
- Deposition rate $\sim 0.75\text{--}2.25 \text{ mTorr}$
- Gas flow $\sim 5\% \text{ Ar} - 5\% \text{ O}_2$

Results

- High conductivity
- High transparency
- High stability (IR)



Adapted and with permission from Gellman A. et al., "Tuning the Optoelectronic Properties of ZnO:Al by Addition of SiO₂ for Light Trapping in High-Efficiency Crystalline Si Solar Cells", *Adv. Mater. Interfaces*, 2015.

Micro and Nanofabrication (MNW)

by using standard thin film materials. One way to succeed to match all of these properties is, for instance, to add SiO_2 to zinc oxide aluminum TCO layer. On the right side of the slide, we can see a TCO layer made of zinc oxide aluminum SiO_2 in between the silicon absorbing medium and the silver rear electrode. Like shown here. Silicon, the TCO and the silver layer. This sputtered composite layer allowed for tuning the optoelectronic properties and for improving the PV efficiency. Let's see in the next slide how this film is actually made.

notes

summary

2m 49s



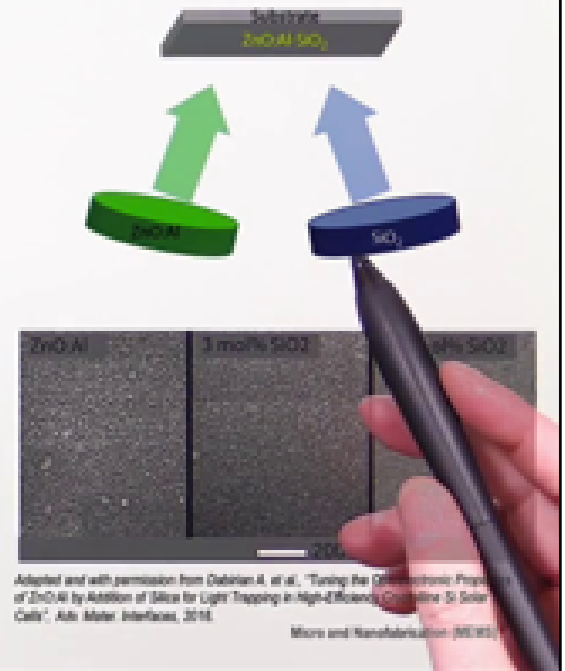
Transparent conductive oxide (TCO)

- Fabrication

- Co-sputtering of ZnO:Al and SiO₂
- Controlled ZnO:Al/SiO₂ ratio
- Background pressure: 2e-7 mbar = 1.5e-7 Torr
- Deposition pressure: 1-3 μbar = 0.75-2.25 mTorr
- Gas flow: 20 sccm Ar, 1.4 sccm 95%Ar-5%O₂

- Results

- High transparency
- Controlled low refractive index
- 20% increase in efficiency at λ > 1050 nm (IR)



To deposit a zinc oxide aluminum SiO₂ layer cool sputtering of zinc oxide aluminum and SiO₂ is performed. As shown here,

notes

summary

3m 32s



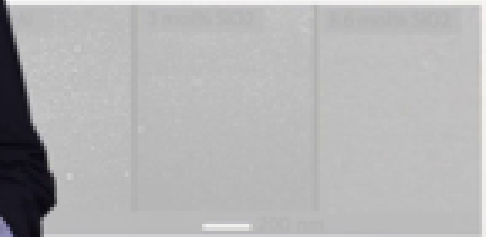
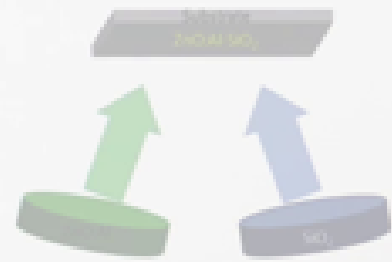
Transparent conductive oxide (TCO)

• Fabrication

- Co-sputtering of ZnO:Al and SiO₂
- Controlled ZnO:Al/SiO₂ ratio
- Background pressure: 2e-7 mbar = 1.5e-7 Torr
- Deposition pressure: 1-3 µbar = 0.75-2.25 mTorr
- Gas flow: 20 sccm Ar, 1.4 sccm 95% H₂

• Results

- High transparency
- Controlled low refractive index
- 20% increase in efficiency at $\lambda = 400$ nm



with permission from Goharian A. et al., "Tuning the Optoelectronic Properties of Silica for Light Trapping in High-Efficiency Crystalline Si Solar Cells", *Solar Energy Interfaces*, 2016.
Micro and Nanofabrication (MNF)

two targets are used within the same vacuum deposition chamber. Tuning the voltage on each target enables to change the zinc oxide aluminum SiO₂ ratio into film. Formed here on the substrate. And thereby to adjust the film microstructure and refractive index. The more SiO₂ we add, the less crystalline becomes the material and the lower is the refractive index. In this concrete example, sputtering enables the deposition of two compounds at the same time and thereby tuning the final stoichiometry of the deposited film. Such a performance is impossible to achieve

notes

summary

3m 41s



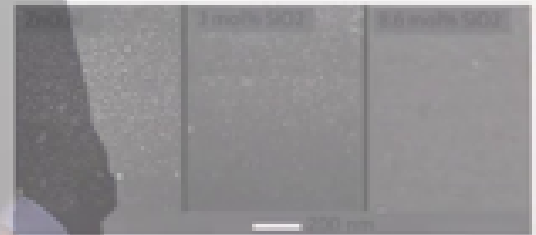
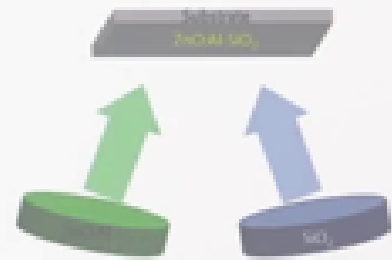
Transparent conductive oxide (TCO)

• Fabrication

- Co-sputtering of ZnO:Al and SiO₂
- Controlled ZnO:Al/SiO₂ ratio
- Background pressure: 2e-7 mbar = 1.5e-7 Torr
- Deposition pressure: 1-3 μbar = 0.75-2.25 mTorr
- Gas flow: 20 sccm Ar, 1.4 sccm 95%Ar-5%O₂

• Results

- High transparency
- Controlled low refractive index
- 20% increase in efficiency at $\lambda > 1050$ nm (IR)



Adapted with permission from Goharian A. et al., "Tuning the Optoelectronic Properties of ZnO:Al by Addition of SiO₂ for Light Trapping in High-Efficiency Crystalline Si Solar Cells", *Adv. Mater. Interfaces*, 2014.

More and Manufacturing (M&M)

with thermal evaporation. The fabricated films exhibit high transparency and low refractive index.

notes

summary

4m 25s



● Goal

- Ultrasound transducer
- Mass sensors
- Bulk acoustic wave filters

● Fabrication

- DC magnetron reactive sputtering of AlN (1.2 μm)
- Al target and 50 sccm N_2 gas flow
- Deposition pressure: 2.1 mTorr
- Deposition rate: 60 nm/min
- Crystallinity is highly dependent on seed layer



Three different coatings are shown here. First, on the left, the zinc oxide aluminum film without addition of SiO_2 . And then with increasing SiO_2 concentration. It shows that the texture is changing towards less grainy morphology. At the same time, the solar cell efficiency increases by 20% in the infrared when using the zinc oxide aluminum SiO_2 TCO layer at the rear of the device, instead of a standard zinc oxide aluminum layer. For further details please have a look at this cited paper. Our second thin film example of interest is based on piezoelectric materials, that are often deposited by reactive sputtering. Piezoelectric materials are very important and used in many MEMs devices such as ultrasound transducers, that means these devices convert a pressure wave into an electrical signal. Or mass sensors, where the mass change induces a resonance frequency shift. And bulk acoustic wave filters. For this latter application, suspended piezoelectric microstructures are sandwiched between electrodes. When an incoming electrical signal which matches the mechanical resonance frequency of one of the microstructures is applied to the input electrodes, the device enters into a mechanical resonance and an electrical signal is transmitted to the output electrode.

notes

summary

4m 32s

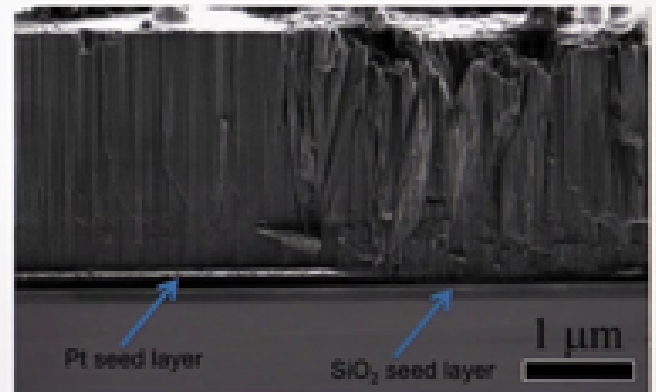


Goal

- Ultrasound transducers
- Mass sensors
- Bulk acoustic wave filters

Fabrication

- DC magnetron sputtering (2 μm)
- Al target
- Deposition in nitrogen atmosphere
- Deposition on Pt seed layer
- Crystallization



Adapted and with permission from Kuan-Archie et al., "Effect of substrate roughness on c-oriented AlN thin films", Journal of Applied Physics, 103, 2008

Micro and Nanofabrication (M/NF)

Typically, bulk acoustic wave filters are made of aluminum nitrate; because of the excellent electroacoustic properties of this material and its chemical compatibility with micro-fabrication processes. The quality of the filter is determined by the piezoelectric film crystal structure. Reactive sputtering with an aluminum target in a nitrogen atmosphere is used to deposit the aluminum nitrate film. As it is the only deposition method which allows for high film quality below 500° C. However, the film crystal structure

notes

summary

6m 2s

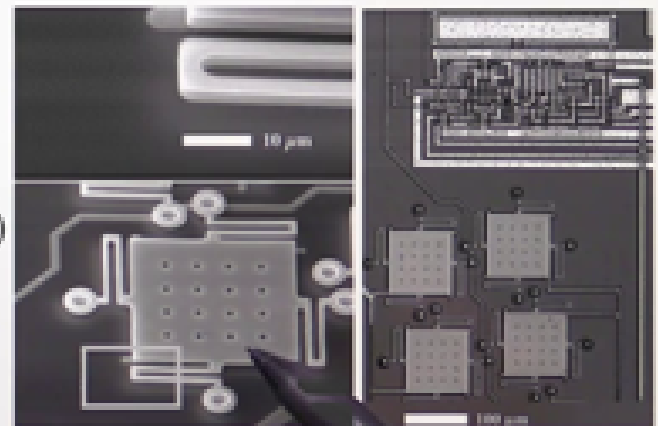


● Goal

- Silicon surface micromachined MEMS
- CMOS compatible
- Organic sacrificial layer compatible

● Fabrication

- Sputtering of Si (2 μm)
- Pressure & power tuning to control stress (<100 MPa)
- 350 °C annealing
- Background pressure: 1e-7 Torr
- Deposition pressure: 8 to 14 mTorr
- Deposition rate: 19-37 nm/min



Honer et al., "Integration of sputtered silicon structures with pre-fabricated CMOS circuitry", *Sensors and Actuators A: Physical*, 2001, 93, 1-10. [Link](#)

Microfabrication (MEMS)

notes

summary

6m 37s

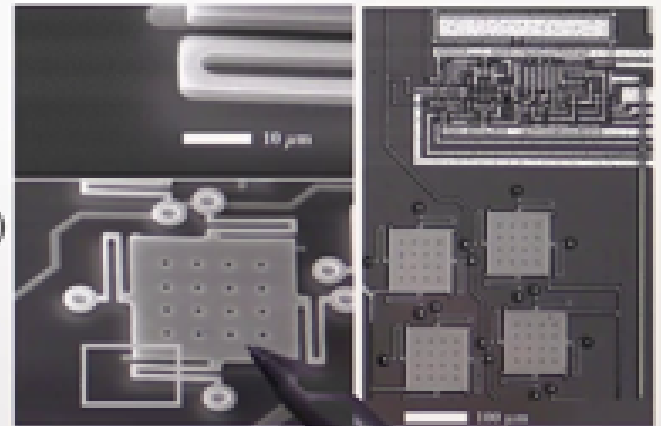


● Goal

- Silicon surface micromachined MEMS
- CMOS compatible
- Organic sacrificial layer compatible

● Fabrication

- Sputtering of Si (2 μm)
- Pressure & power tuning to control stress (<100 MPa)
- 350 °C annealing
- Background pressure: 1e-7 Torr
- Deposition pressure: 8 to 14 mTorr
- Deposition rate: 19-37 nm/min



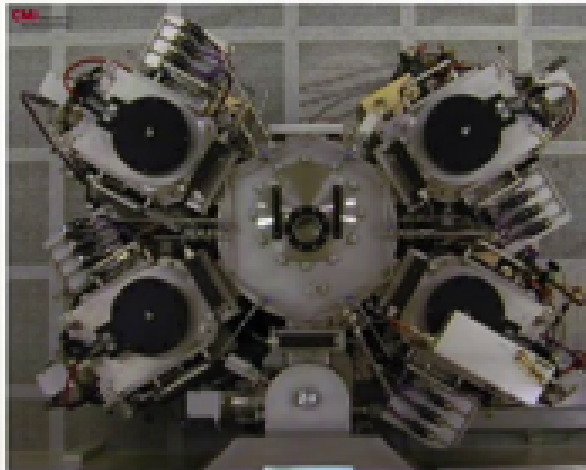
Honer et al., "Integration of sputtered silicon microstructures with pre-fabricated CMOS circuitry", *Sensors and Actuators A: Physical*, 2001, 93, 1-10. [Link](#) (MEMS) [Link](#) (Microfabrication)

Tuning the pressure and power of the sputtering process, and performing a post-annealing at 350° C, allows depositing up to 2 μm thick silicon films with residual stresses lower than 100 MPa. In addition to be CMOS-compatible, such a process can also be performed on a polymeric or organic sacrificial layer. As a result, suspended silicon structures can be fabricated on top of CMOS circuitry using a dry relief process in oxygen plasma to remove the sacrificial layer. This process is much simpler than the usual SiO₂ sacrificial layer using HF, wet release, and critical point drying process. An example of a sputtered silicon microstructure suspended with 4 springs next to its CMOS circuitry is shown here. The silicon plates, shown here in the magnification,

notes

summary

EPFL



- Sputter cluster system (25 wafers)
- 2 x DC Magnetron: Al, AlSi, Mo, Nb, Pt, Ru, Si, Ta, Ti, W
- 1x pulsed DC Magnetron: Al, AlSc
- 1 x RF Magnetron: Al_2O_3 , GeO_2 , ITO, MgO, Ru, SiO_2 , Ta_2O_5 , Ti, TiO_2 , V_2O_5
- 1 x RF-etch for cleaning
- 4 and 6 inches wafers
- Deposition from RT to 350[°C] (heaters)
- Turbomolecular pump to reach high vacuum of 10^{-6} to 10^{-7} [Torr]
- Deposition pressure is around 3 [mTorr]
- Reactive sputtering in O_2 atmosphere

notes

10m 13s

