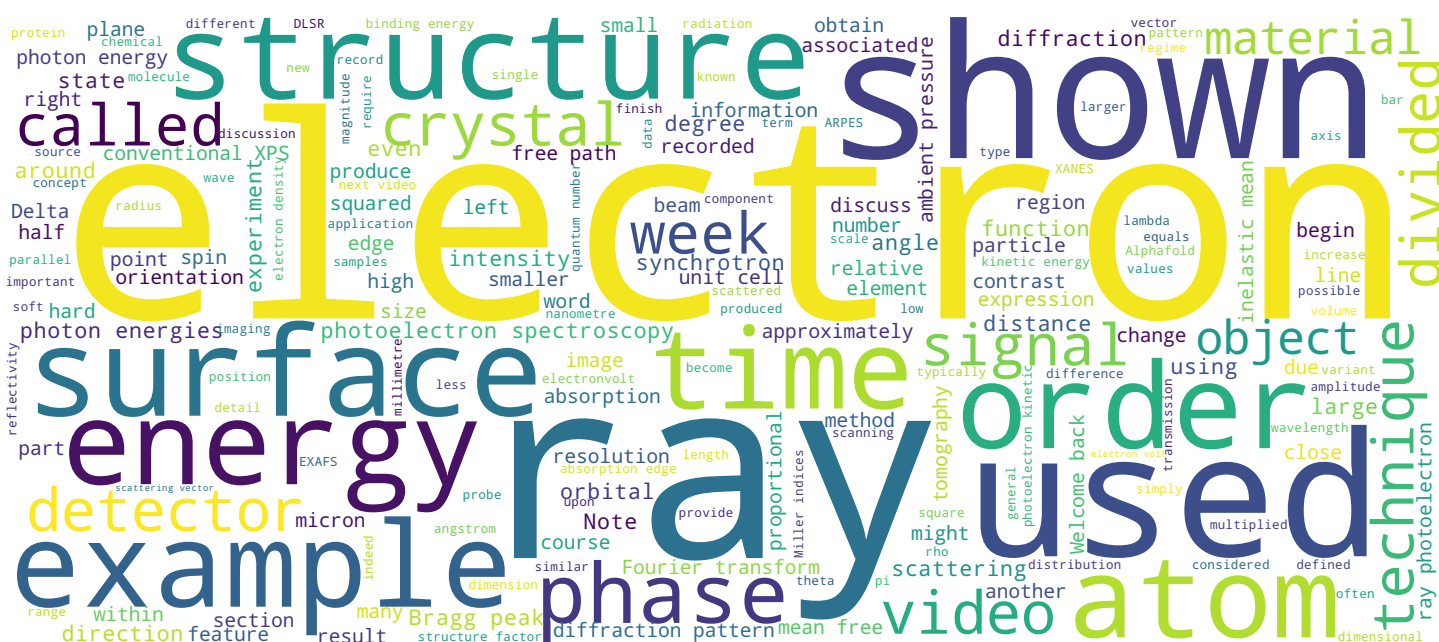


## Hard PES variants

Prof. Philip Willmott



## Search MOOC



## Video



# Contents and objectives of this video



- HAXPES
- HARPES
- AP-HAXPES

Welcome back to the last video of week four of this course on synchrotron and XFEL radiation techniques and applications. In this video, we briefly cover variants of photoelectron spectroscopy in which higher incident photon energies are employed, which lead to higher photoelectron kinetic energies and an associated higher inelastic mean-free path. The three variants discussed here, HAXPES, HARPES, and ambient pressure HAXPES, if not exhaustive, give a taste of how photoelectron spectroscopy is moving increasingly towards the investigation of realistic systems found in operando devices such as battery cells or in electronic device structures, for example.

Notes

Summary

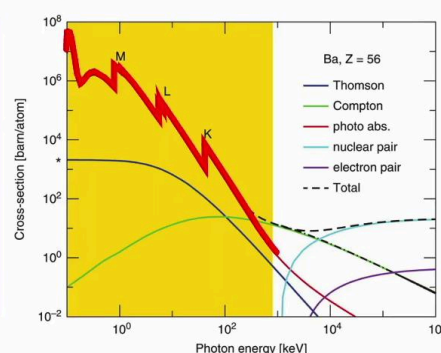
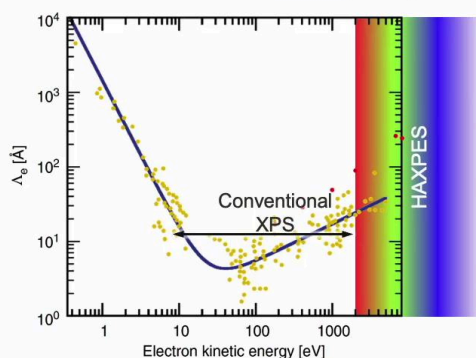
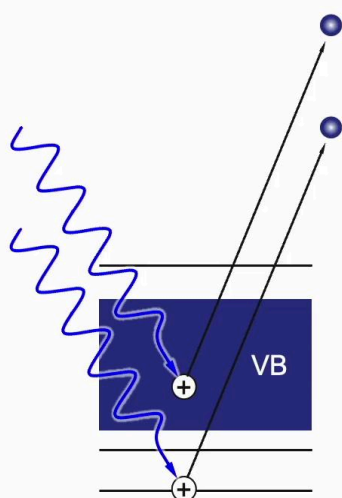


0m 05s

# Hard x-ray PES

## ■ HAXPES

- High-energy incident radiation
    - 2 – 100 keV, depending on facility
    - Much narrower radiation linewidths c.f. lab-based HAXPES
    - Electron escape depths  $\Lambda_e$  up to ca. 10 nm
      - Bulk sensitivity, probe buried interfaces
    - Absorption coefficient  $\propto (h\nu)^{-3}$
    - CHA sensitivity  $\propto E_e^{-1}$
- Extremely photon hungry  
⇒ SR, DLSRs!!



See also review: C. Kalha *et al.*, <https://iopscience.iop.org/article/10.1088/1361-648X/abeacd/pdf>

Hard X-ray photoelectron spectroscopy or HAXPES, is simply conventional XPS using high photon energies. For a given binding energy, the photoelectron produced by absorption of a photon with X eV higher energy will therefore have a correspondingly higher kinetic energy. So in contrast to conventional XPS, which extends up to about two kiloelectronvolts, HAXPES begins at this energy and can extend as high as 100 kiloelectronvolts, depending on the facility. The advantage of synchrotron-based HAXPES is that because the escape depths are up to an order of magnitude larger than in conventional XPS, it has bulk sensitivity, meaning that all momentum quantum numbers;  $k_x$ ,  $k_y$  and  $k_z$  are good, and that buried interfaces can be probed. The technical obstacles to HAXPES include a much-reduced photoabsorption coefficient, which drops off with the inverse third power of the incident photon energy and a reduced analyzer sensitivity, which is proportional to the inverse photoelectron kinetic energy. This makes all hard X-ray photoelectron spectroscopies extremely photon-hungry, necessitating the use of synchrotrons, and in particular, DLSRs. The number of HAXPES facilities is burgeoning since the advent of DLSRs with MAX IV in 2016.

Notes

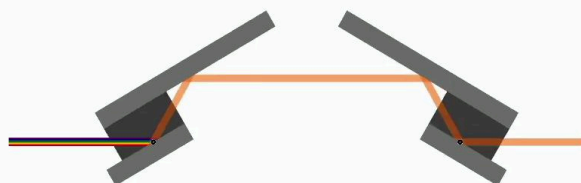
Summary



0m 51s

# Hard x-ray PES

- $\Delta E/E \sim 1/10000$  or better
  - DCM/CCM/4BM combination Si and/or Ge
  - High Miller indices e.g., (333), (400), (444)
    - Access high photon energies at reasonable Bragg angles (i.e.,  $\lambda = 2d_{hkl} \sin \theta \Rightarrow$  small  $d_{hkl}$ )



Because the absolute bandwidth  $\Delta\nu$  of the binding energy should remain similar to that produced in conventional XPS at lower photon energies, the relative bandwidth  $\Delta\nu$  upon  $\nu$  must be much smaller, setting stringent specifications on the monochromator design. This is typically achieved by combining conventional DCM monochromators, which have a nondispersive geometry regarding the Bragg angle of the second crystal with a four-bounce mono or double-crystal cut mono, typically using different Miller indices or indeed different crystal types. The Miller indices also tend to be higher due to their smaller interplanar separations, allowing one to more easily access higher photon energies at non-glancing Bragg angles.

Notes

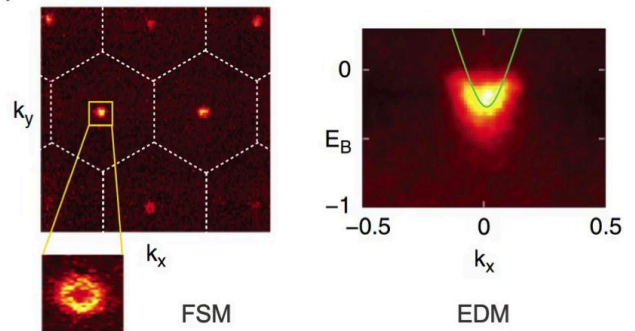
Summary



2m 28s

# Hard x-ray angle-resolved PES

- HARPES – hard x-ray ARPES (see Video w4s2v4)
  - Up to 2 keV, “SX-ARPES”
  - $\equiv$  angle-resolved HAXPES
  - Extremely low PE-excitation cross-sections
    - $\Rightarrow$  SR, DLSR, XFEL
  - Bulk excitation
    - All momentum quantum numbers are good, including  $k_z$
    - Less sensitive to surface contaminations  $\Rightarrow$  partially relaxed vacuum conditions
    - Hugely expanded range of easily prepared sample materials
- In-situ/operando experiments



ARPES features of  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  buried quantum well structure  
See: L.L. Lev *et al.*, [Nature Comms.](#)

When HAXPES is combined with angle-resolved signal, one obtains HARPES, the hard X-ray variant of ARPES. This is often referred to rather confusingly to the uninitiated as soft X-ray ARPES, but this is for photon energies below around two kiloelectronvolts, but still significantly higher than those energies which are normally employed in conventional ARPES. These rarely extend past 250 or maybe 500 electronvolts. As we've already intimated, the photoabsorption cross-sections are extremely small at these higher energies, requiring very high, brilliant beamlines. The bulk excitations induced in HARPES means not only that momentum quantum numbers are preserved in all directions, but also that experimental results are less sensitive to surface contaminations, thus relaxing sample preparation procedures, the vacuum conditions, and also hugely expanding the range of materials that lend themselves to such experiments. Soft X-ray ARPES and HARPES are already producing exciting results in the fields of in-situ and operando systems, such as shown here for the electronic structure of aluminium gallium nitride buried quantum-well structures.

Notes

Summary



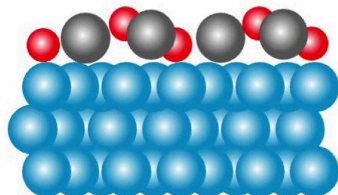
3m 18s



# Ambient-pressure hard x-ray PES

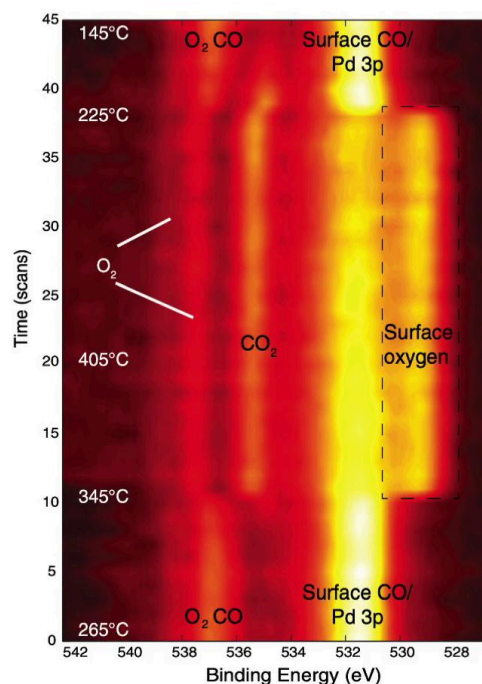
## AP-HAXPES

- Extended inelastic mean-free path
- ⇒ Higher ambient pressures
- ⇒ Thicker liquid layers
- Interfaces under realistic chemical conditions



Example: Change in spectra around the O 1s region during temperature ramp in CO oxidation on Pd(100) @ 0.2 mbar O<sub>2</sub>/CO  
hν = 650 eV

S. Blomberg *et al.*, <http://dx.doi.org/10.1103/PhysRevLett.110.117601>



Finally, ambient pressure hard X-ray photoelectron spectroscopy exploits the larger inelastic mean-free path of the photoelectron, not while it is emerging from dense matter, but during its journey from the surface or interface to the differentially pumped electron analyzer. This means either that thicker liquid layers can be used in solid-liquid interface experiments or higher gas pressures in solid-gas or liquid-gas setups. Thus, ever more realistic physicochemical conditions can be achieved in ambient pressure HAXPES, such as shown here in an early example of the technique used in the study of carbon monoxide oxidation on the surface of the palladium.

Notes

Summary



4m 44s

- Notes



## Next week...



Next week will be the first of two concentrating on imaging techniques, in which we discuss X-ray computed tomography and Zernike and dark field microscopies. I look forward to seeing you then.

Notes

Summary



7m 01s